

# FutureScholar

## 529 College Savings Plan

## Change of Ownership Form – Deceased Account Owner

We are very sorry for your loss. Please complete this form to transfer all or part of an account to new Account Owner(s) when the current Account Owner is deceased. If the account owner is living, please complete the Change of Ownership Form found at FutureScholar.com. If you have questions about completing this form, please call us at 1.888.244.5674, Monday through Friday, from 8:00 a.m. to 7:00 p.m. (ET).

### Transferring Ownership (when the Account Owner is deceased):

When the Account Owner is deceased, the successor Account Owner on file is eligible to become the Account Owner. **If there is no successor Account Owner on file or the successor Account Owner is deceased or incapacitated**, eligibility for ownership follows the lines of succession as described in the [Program Description](#) and listed below:

1. The surviving spouse of the Account Owner, provided he or she is the natural or adoptive parent of the Designated Beneficiary, is eligible to become the Account Owner.
2. In the event there is no surviving spouse who is a parent of the Designated Beneficiary, and the Designated Beneficiary is not a minor, the Designated Beneficiary is eligible to become the Account Owner.
3. If the Designated Beneficiary is a minor, the Designated Beneficiary's custodial guardian is eligible to become the Account Owner. If the Designated Beneficiary has more than one custodial guardian, the oldest custodial guardian is eligible to become the Account Owner.
4. If the Designated Beneficiary predeceases the Account Owner or dies in a manner that it cannot be determined who died first, the Estate of the Designated Beneficiary is eligible to become the Account Owner.

### How does the new Account Owner assume ownership?

- The new Account Owner must open a Future Scholar account registered in their name for the same Designated Beneficiary. You can open an account online at FutureScholar.com, or by mailing a completed Account Application along with the Change of Ownership Form.
- The new Account Owner must complete this Change of Ownership Form. The signature verification requirement on this form (Section V) varies as follows:
  - A **notarized signature** is required if ownership is transferred to the designated successor Account Owner or follows the line of succession (as outlined above) and documentation is provided to prove line of succession (such as proof of marriage, proof of relationship, etc.).
  - In all other scenarios, a **Medallion Signature Guarantee (MSG)** is required. An MSG can be obtained by a financial institution and through online service providers.
- A certified copy of the current Account Owner's death certificate must be submitted along with the form.
- If the change of ownership was ordered by probate court, please provide a court order that was certified within 60 days of presentment.

### Please return the completed form by mail:

#### Regular Mail:

Future Scholar 529 Plan  
P.O. Box 219812  
Kansas City, MO 64121-9812

#### Overnight Mail:

Future Scholar 529 College Savings Plan  
801 Pennsylvania Ave STE 219812  
Kansas City, MO 64105-1307

## I. Current Account Information

### A. Designated Beneficiary's Account Information

Current Account Number

Designated Beneficiary's First Name

Middle Initial

Last Name

Designated Beneficiary's Social Security Number or other Taxpayer Identification Number

Date of Birth (MM/DD/YY)

### B. Account Owner Information

*If not an individual, indicate the type of entity (e.g., a trust) and insert the entity's legal name.*

Primary Account Owner's First Name (or entity name)

Middle Initial

Last Name

Social Security Number or other Taxpayer Identification Number

Date of Birth (MM/DD/YY)

Joint Account Owner's First Name

Middle Initial

Last Name

Mobile Phone Number

Home Phone Number

## II. New Account Owner Information

Check this box if account is being transferred to an existing account already established.

Existing Account Number

If you do not have a new account established, please enclose an Account Application with your Change of Ownership Form.

Primary Account Owner's First name (or entity name)

Middle initial

Last name

Social Security Number or other Taxpayer Identification Number

Joint Account Owner's First name

Middle initial

Last name

Contact telephone number

### III. Amount of Transfer

**Note: Any account options will not be carried over automatically.**

Check One:

- Transfer entire account balance.** The account listed in Section I will be closed and all of the assets will be transferred to an account for the new account owner(s).
- Partial transfer as detailed below.**

Portfolio name	Portfolio number	Amount	Percentage
_____	OR <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____	OR _____ %
_____	OR <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____	OR _____ %
_____	OR <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____	OR _____ %
_____	OR <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____	OR _____ %
_____	OR <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____	OR _____ %

### IV. Signature Section

By signing below, I certify that the information provided on this form is in all respects true, complete and correct, that the information change(s) and/or action authorized on this form is to be reflected or taken, as applicable, in accordance with the current Future Scholar 529 College Savings Plan Program Description, and that I fully understand the consequences of such change(s) and/or action.

This form must be signed in capacity and will require a Medallion Signature Guarantee or a Notary Stamp. See below for more details.

\_\_\_\_\_  
Print Name of Account Owner or Authorized Individual

\_\_\_\_\_  
Print Name of Joint Account Owner, if applicable

**X** \_\_\_\_\_  
Signature of Account Owner (Include capacity, if applicable)

**X** \_\_\_\_\_  
Signature of Joint Account Owner (required for joint registration)

#### Signature & Stamp Requirement

A notary is required when transferring ownership to a designated successor Account Owner OR transferring to an owner following the lines of succession (with proof of relationship) as outlined in the program description and listed on page 1 of this form. All other transfers require a Medallion Signature Guarantee Stamp.

**Medallion Signature Guarantee Stamp or Notary Stamp\***

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\*A Medallion Signature Guarantee helps assure that a signature is genuine and not a forgery. A Medallion Signature Guarantee must be provided by an eligible guarantor institution including, but not limited to, the following: bank, credit union, savings association, broker or dealer, that participates in the Securities Transfer Association Medallion Program (STAMP), the Stock Exchange Medallion Program (SEMP) or the New York Stock Exchange Medallion Signature Program (MSP). The Transfer Agent reserves the right to reject a signature guarantee and to request additional documentation for any transaction.

Columbia Threadneedle Investments (Columbia Threadneedle) is the global brand name of the Columbia and Threadneedle group of companies.